



DOCKET NO.: MS196129.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: **Thatte, et al.**
Serial No.: **10/086,032**
Filed: **February 28, 2002**
For: **COMPENSATION FRAMEWORK FOR LONG RUNNING TRANSACTIONS**
Art Unit: **2171**
Examiner: **Unknown**

#2 all
2171-25-02
RECEIVED
JUN 13 2002
Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: _____
Filing Date: _____

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) _____ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) _____ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

- (a) _____ Within 3 months of the filing date or date of entry into the National Stage.
- (b) X Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.

(c) ___ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.

(1) ___ The required certification is given below, or

(2) ___ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or

(3) ___ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063.

(d) ___ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

(1) ___ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or

(2) ___ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 50-1063.

4. Certification (if applicable)

(a) ___ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.


(b) ___ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1063.

Respectfully Submitted,

AMIN & TUROCY, LLP

By



Himanshu S. Amin
Reg. No. 40,894

24TH Floor, National City Center
1900 East 9th Street
Cleveland, Ohio 44114
(216) 696-8730

CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 6-3-02


Himanshu S. Amin

Form PTO-1449 (Modified)

Atty Docket No.:
MS196129.1Serial No.:
10/086,032

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

Applicant: Thatte, *et al.*

Filing Date: 2/28/02

Group: 2100

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

Examiner Initial		Document Number							Date	Name	Class	Subclass	Filing Date if Appropriate
	A	5	2	6	1	0	6	9	11/09/93	Wilkinson, <i>et al.</i>			
	B	5	5	2	4	2	4	1	6/04/96	Ghoneimy, <i>et al.</i>			
	C	5	5	8	1	6	9	1	12/03/96	Hsu, <i>et al.</i>			
	D	5	6	3	0	0	6	9	5/13/97	Flores, <i>et al.</i>			
	E	5	7	0	6	4	2	9	1/06/98	Lai, <i>et al.</i>			
	F	5	9	1	8	2	1	8	6/29/99	Harris, <i>et al.</i>			
	G	5	9	4	0	8	3	9	8/17/99	Chen, <i>et al.</i>			
	H	5	9	6	0	4	2	0	9/28/99	Leymann, <i>et al.</i>			
	I	6	0	0	9	4	0	5	12/28/99	Leymann, <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number							Date	Country	Class	Subclass	Translation	
													Yes	No
	J													
	K													

OTHER ART(Including Author, Title, Date, Pertinent Pages, etc.)

	L		
	M		
EXAMINER		DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.